



# CABINET FOR HEALTH AND FAMILY SERVICES

## OFFICE OF INSPECTOR GENERAL

DRUG ENFORCEMENT & PROFESSIONAL PRACTICES BRANCH  
275 EAST MAIN STREET, 5ED  
FRANKFORT 40621-0001

*For Office Use Only*

Lic.

No. \_\_\_\_\_

Date

mailed \_\_\_\_\_

### APPLICATION FOR A NEW LICENSE AS A MANUFACTURER OR WHOLESALE OF CONTROLLED SUBSTANCES

All licenses expire June 30 and are not transferable. Please complete the application and submit to the above address with check or money order made payable to the Kentucky State Treasurer in the amount of \$240.00.

1. The undersigned hereby makes application for a ☐ **Manufacturer's**, or ☐ **Wholesaler's**  
(Check Only One) Controlled Substance License under the provisions of KRS 218A.

b. Schedule(s) (Check all that apply)

☐ II

☐ IIIN

☐ IIN

☐ IV

☐ KY IV (Nalbuphine)

☐ III

☐ V

c. ☐ 1,4 Butanediol, Gamma-Butyrolactone, GBL, Dihydro-2(3H)-furanone, 1,2-Butanolide, 1,4-Butanolide; 4-Hydroxybutanoic acid lactone, gamma-hydroxybutyric acid lactone (Code of Federal Regulations 21 Part 1310.02 (a)) – Industrial Use Only – Not for human consumption

2. Name of Prospective Licensee: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Telephone: \_\_\_\_\_ Email Address: \_\_\_\_\_

3. All trade or business names: \_\_\_\_\_

4. Contact person(s) for the handling, storage or recordkeeping of controlled substances (attach additional pages if necessary):

Name:	Name:
Address:	Address:
Email:	Email:
Phone:	Phone:

5. Type of ownership:

☐ **Individual/Sole Proprietorship**



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Name \_\_\_\_\_

Address \_\_\_\_\_

☐ **Partnership: (Attach additional pages if necessary)**

\_\_\_\_\_  
Name of Partnership

\_\_\_\_\_  
Name of Partner

\_\_\_\_\_  
Name of Partner

\_\_\_\_\_  
Address of Partner

\_\_\_\_\_  
Address of Partner

☐ **Limited Liability Company: (Attach additional pages if necessary)**

\_\_\_\_\_  
Name of LLC

\_\_\_\_\_  
Name of Manager or Member

\_\_\_\_\_  
Name of Manager or Member

\_\_\_\_\_  
Address of Manager or Member

\_\_\_\_\_  
Address of Manager or Member

☐ **Corporation**

\_\_\_\_\_  
Name of Corporation

\_\_\_\_\_  
State of Incorporation

Name and title of each corporate officer and director: (Attach additional pages if necessary)

Name \_\_\_\_\_

Name \_\_\_\_\_

Title \_\_\_\_\_

Title \_\_\_\_\_

Name \_\_\_\_\_

Name \_\_\_\_\_

Title \_\_\_\_\_

Title \_\_\_\_\_

Name \_\_\_\_\_

Name \_\_\_\_\_

Title \_\_\_\_\_

Title \_\_\_\_\_



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6. Describe the business, the physical facilities, and the type security provided. (Attach additional pages if necessary)

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7. DEA number of licensee:

Expiration date:

***Attach copy of current DEA registration certificate.***

8. Has applicant or any partner, officer, director or agent ever been convicted of a misdemeanor involving any controlled substance?

☐ Yes (attach explanation) ☐ No

9. Has any applicant or any partner, officer, director, or agent been convicted of any felony?

☐ Yes (attach explanation) ☐ No

Changes in the above information must be submitted on form DCB 11 within 30 days or at the time of renewal, whichever occurs first.

I understand that the Cabinet for Health Services shall be notified in the event of any theft or other loss of controlled substances. Any problem, such as pilferage, which develops in a facility, must also be reported. Assistance may be available if desired.

I hereby certify that all answers given in this application are true, complete and correct and I understand that any license issued to me by the Cabinet for Health Services may be suspended or revoked for cause.

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Printed Name & Title of Applicant

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Signature

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Date

**\*FOR OFFICE USE ONLY\***

Date application received:

Date fee received:

Amount:

Check number: